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1 GENERAL BACKGROUND INFORMATION

Name and version:	Impact-Driven Approach to Sustainability module (for Flowers and Ornamentals) v1.0
Scope:	Flowers and Ornamentals
Scheme ID:	290
Application in country(ies):	All
The applicable control points and compliance criteria (CPCCs) name and version:	Impact-Driven Approach to Sustainability module (for Flowers and Ornamentals) v1.0

2 GENERAL INFORMATION

2.1 Definitions

Producer: Farmers/Growers (or producer groups organized via a quality management system) who are not yet certified against the GLOBALG.A.P. Integrated Farm Assurance standard for the sub-scope Flowers and Ornamentals, who are not assessed against the localg.a.p. Primary Farm Assurance standard for Flowers and Ornamentals, and who intend to use the Impact-Driven Approach whereby specified data is shared with GLOBALG.A.P.

Service Provider: The use of a farm management system (FMS) software to facilitate data sharing is required. The GLOBALG.A.P. Secretariat registers and approves FMSs to facilitate the data sharing. Details and providers can be found on the GLOBALG.A.P. website https://www.globalgap.org/uk_en/what-we-do/the-gg-system/Impact-Driven-Approach/.

Peers: Flowers and Ornamentals producers in the same region, growing the same crop, with the same product category, same growing conditions, and who share data with GLOBALG.A.P. in the Impact-Driven Approach for comparison reports.

2.2 Data Governance

GLOBALG.A.P. shall aggregate the shared data and prepare comparisons. Consolidated reports will be presented electronically for comparison on a monthly basis.

- Producers register environmental metrics (inputs such as fertilizer/plant protection products use and water and energy use) in a digital platform to share with GLOBALG.A.P.
- GLOBALG.A.P. processes this data to:
 - collect information on historic trends of input. This will be used as a performance indicator when assessing implemented practices.
 - compare input between similar producers.
- Data about individual producers *shall not be shared with other parties*.
- Reports with aggregated data will be shared only with producers using the GLOBALG.A.P. Impact-Driven Approach. With time, GLOBALG.A.P. Secretariat may decide how aggregated data could be shared with stakeholders other than participating producers.
- Data *shall not be used as a tool to classify producers as being more or less sustainable*.

Processing data and reporting back to producers

1. GLOBALG.A.P. will process data to create comparison reports of peer producers.
2. Criteria to define producers as peers are based on location, type of crop, and size of farm.
 - o Type of crop: ideally same crop, product category (as per the GLOBALG.A.P. product list), or farm
 - o Soil or soilless cultivation
 - o Covered or open field cultivation
 - o Size of farm
3. GLOBALG.A.P. will provide comparison reports via the FMS to producers in the same region or within certain radiuses from the site of production.

3 GENERAL RULES SPECIFICATIONS

This document contains the general rules specifications exclusively applicable to the Impact-Driven Approach module. The basis for this document is the current “Primary Farm Assurance [general rules](#)”, which should be consulted while reading these general rules specifications.

The GLOBALG.A.P. general regulations parts I, II, and III and Crops rules in their current version apply for the module unless otherwise specified below.

<p>3. APPLICATION OPTIONS</p> <p>Preconditions:</p> <ol style="list-style-type: none"> 1. The producer/producer group is not already certified against the GLOBALG.A.P. Integrated Farm Assurance (IFA) standard or assessed against the localg.a.p. Primary Farm Assurance (PFA) standard for Flowers and Ornamentals (FO). 2. Producers shall register with a certification body (CB)/verification body (VB) for the module. 3. Producers shall register with an FMS that has an agreement with GLOBALG.A.P. (listed on the GLOBALG.A.P. website). 4. Data shall be shared with GLOBALG.A.P. on a monthly basis via the FMS. 5. The producer shall authorize the chosen CB/VB’s access to records for the purpose of confirming evidence as found during on-site inspections/assessments. <p>An individual producer or a producer group may register for this module. If the registration is for a producer group, the producers shall be managed by a quality management system (see GLOBALG.A.P. general regulations part II). In this case, data shall be shared on a group and/or individual member level.</p>
<p>4. REGISTRATION PROCESS</p>
<p>4.1 Certification Bodies</p> <p>A producer may register with a GLOBALG.A.P. approved or provisionally approved CB for the scope of 1) the IFA standard v5 for FO or 2) an equivalent benchmarked scheme against the IFA standard v5 for FO, or 3) with an approved VB/CB for the scope of PFA. The CB/VB must be registered for the Impact-Driven Approach add-on or module.</p> <p>A licensed Farm Assurer may become a VB to conduct assessment against this module.</p> <p>A list of GLOBALG.A.P. approved CBs and VBs and the GLOBALG.A.P. fee table are available on the GLOBALG.A.P. website.</p>
<p>4.2 Registration</p> <ol style="list-style-type: none"> a) The application shall cover at least the information detailed in Annex I.2 “GLOBALG.A.P. Registration Data Requirements.” By registering, the applicant commits to complying with the requirements at all times, communicating data updates to the CB/VB, and paying the applicable fees established by GLOBALG.A.P. and the CB/VB. b) This information is used by GLOBALG.A.P. to supply the applicant with a unique localg.a.p. Number (LGN), which is used as a unique identifier. c) Any objective evidence found that indicates that the applicant has been misusing the localg.a.p. claim shall lead to the exclusion of the applicant from assessment for 12 months after evidence of misuse. In addition, the applicant will be listed, and the list shall be checked before registration in the database. Any case of misuse shall be communicated to the GLOBALG.A.P. members and the CBs/VBs. d) Confidentiality, data use, and data release: <ol style="list-style-type: none"> (i) During registration, applicants give written permission to GLOBALG.A.P. and the CB/VB to use the registration data for internal processes and sanctioning procedures.

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- (ii) All data in the GLOBALG.A.P. database is available to GLOBALG.A.P. and the CB/VB, which the producer or producer group is working with, and can be used for internal processes and sanctioning procedures.
- (iii) The minimum and obligatory data release level, as well as additional information on confidentiality and data use, is defined by the “Data Access Rules” and available at www.globalgap.org/documents.
- (iv) If an applicant does not agree to the minimum release, the applicant is not in agreement with the “Sublicense and Certification Agreement/Verification Body License Agreement” and cannot receive a letter of conformance or belong to a producer group requiring a letter of conformance.
- (v) No data other than that stated in point (iii) can be released by GLOBALG.A.P. or CBs/VBs to any other party without written consent of the applicant.
- e) For the registration to be completed, the applicant shall satisfy *all* the following conditions:
 - (i) Submit to the CB/VB the relevant application that shall include all the necessary information
 - (ii) Sign acceptance of the GLOBALG.A.P. sublicense and certification agreement in its latest version (available on the GLOBALG.A.P. website) with the CB, or the verification body license agreement with the VB, *or* the applicant shall explicitly acknowledge the receipt and the inclusion of the GLOBALG.A.P. sublicense and certification agreement with signature on the service contract/agreement with the CB/VB and the CB/VB shall supply a copy of the GLOBALG.A.P. sublicense and certification agreement to the producer
 - (iii) Be assigned an LGN if they don't already have a Global Location Number (GLN)
 - (iv) Agree in writing to pay the localg.a.p. registration fee, as explained in the current GLOBALG.A.P. fee table (available on the GLOBALG.A.P. website)
- f) The registration process, in case of initial assessments and transfers, shall be finalized before the assessment can take place.
- g) In the case of first registration the CB/VB shall confirm the application and provide the applicant with the LGN within 28 calendar days of receiving the complete application.

5. ASSESSMENT PROCESS

A GLOBALG.A.P. approved or provisionally approved CB for the scope of 1) the IFA standard v5 for FO or 2) an equivalent benchmarked scheme against the IFA standard v5 for FO, or 3) an approved CB/VB for the scope of PFA shall assess the consistency of registered data against on-farm assessment of facilities and records and frequency and completeness of data sharing with the FMS.

The producer must have 12 months of consecutive data registering history before a first assessment may take place, regardless if the producer may have changed CB/VB during the first 12 months.

The assessment includes checking for a) reliability of digitally registered data against farm conditions, including records kept at farm level and ways in which data is collected and recorded, b) compliance of the control points of the IDA module, and c) a confirmation that the producer digitally registered the data through the FMS for GLOBALG.A.P. on a monthly basis, as required in the control points.

The CB/VB shall annually carry out unannounced assessments for a minimum of 10% of the producers who have not yet been assessed.

Definition of a VB: A VB is a GLOBALG.A.P. licensed Farm Assurer (see definition in Annex I.4 of GLOBALG.A.P. Definitions) or another 2nd party company that has signed a license agreement with GLOBALG.A.P and acquired the rights from producers to upload and/or register these producer activities in the GLOBALG.A.P database.

In case the producer moves to IFA/PFA, the producer will register for the IDA add-on, in which case the assessment shall be aligned with the annual IFA audit/inspection.

The duration of the assessment will depend on the size of the farm and the complexity of production activities as well as the number of applicable forms. The assessment is expected to take 1 to 3 hours.

In case of producer groups regulated by a quality management system (QMS), aggregated data may be shared, in which case the assessment will take place at QMS level.

If the producer group shares data per group member, a sample of the members shall be assessed. The sample size and sample selection shall follow the sampling rules as for Option 2 producer groups (or Option 1 multisite producers with QMS) as explained in GLOBALG.A.P. general regulations parts I and III.

6. APPROVAL PROCESS
6.1 Requirements for Achieving and Maintaining Module Conformance
All control points are Major Musts, and compliance with all points is required. Complete data for <i>all</i> points as required in the CPCCs shall be shared on a monthly basis via the chosen FMS.
6.2 Sanctions
Time to close out non-compliances shall be agreed between the producer and the CB/VB. If data has not been shared for a month via the FMS with GLOBALG.A.P., the CB/VB shall send a warning notification to the producer during the following month. If data has not been sent in for two consecutive months, at the beginning of the third month the CB/VB shall send another warning notification to the producer. If data has not been sent by the end of the third month, the producer is not compliant and will have the status "Product Suspended."
6.5 Letter of Conformance and Inspection Cycle
A Letter of Conformance is issued by the CB/VB once the producer fully complies with: a) all the control points of the module, b) confirmation that data reported to the FMS is consistent with facilities and records on-farm, and c) that producer is registering data in the FMS on a monthly basis. This Letter of Conformance is an indication that the producer is registered for sharing the requested data and intends to share the data on a monthly basis. The validity period of this letter of conformance is 12 months. See Annex 2 of this document for a template of the Letter of Conformance. The Letter of Conformance template shall be manually uploaded by the CB/VB in the GLOBALG.A.P. database.
7. Certification Body and Farm Assurer (VB) Registration Rules
CBs/VBs that wish to be approved to conduct assessments against the Impact-Driven Approach module shall send a signed letter of intent to radoslavov@globalgap.org .

ADDITIONAL RULES
1. Logo Use
The localg.a.p. logo shall be used according to the requirements stipulated in the GLOBALG.A.P. general regulations part I, Annex I.1.
2. Data Access Rules
See Annex 1 “Data Access Rules for the Impact-Driven Approach Module.”
3. Assessor/Farm Assurer Qualifications
<p>Finally and provisionally approved IFA or benchmarked scheme CBs or VBs that have completed the scope extension to this module are allowed to conduct assessments against this module. Minimum requirements are the same as in the case of GLOBALG.A.P. F&O inspectors and auditors (for CBs), as stipulated in the GLOBALG.A.P. general regulations part III, Annex III.1 and Annex III.2. In the case of VBs, the minimum qualification requirements are similar to those for Farm Assurers.</p> <p>The CB/VB shall appoint an in-house trainer (IHT) who shall complete an IHT training, as provided by GLOBALG.A.P. for the relevant localg.a.p. Impact-Driven Assessment module when offered.</p> <p>The IHT shall be responsible for ensuring that all the CB's/VB's registered localg.a.p. Impact-Driven Assessment module assessors comply with the required qualification criteria.</p> <p>Assessors shall take part in an internal training on the localg.a.p. Impact-Driven Assessment module provided by the CB/VB-approved IHT.</p>
4. Fees
<p>Producer fees: See Annex 3. The producer shall not pay any fees directly to GLOBALG.A.P. Fees are payable to the CBs/VBs.</p> <p>Fees for CBs: Scope extension fee of €500 annually (waived for 2020). The fee is not applicable if the CB/VB also sent a letter of intent for the Impact-Driven Approach add-on.</p>
5. Transfer between CBs
The producer may change CB/VB any time unless it is stipulated otherwise in the contract between the producer and the CB/VB. The producer may change CB/VB only if there is an agreement between the producer and the outgoing CB/VB and may not change CB/VB if there is a pending sanction. The pending sanction may be a result of the assessment outcome or because of non-transferring data or other contractual nonconformance like non-payment. When the transfer is possible, it may be done when the producer has accepted or compliant status. The original cycle (established by the outgoing CB/VP) should be taken over by the incoming CB/VB. Transfer may not be used to further postpone one-year deadline of digitally registering data prior to get the assessment.

ANNEX 1 – DATA ACCESS RULES FOR THE IMPACT-DRIVEN APPROACH MODULE

DATA ACCESS RULES

The assessment data access rules for this module are the same as for the PFA standard, except that the following product statuses are visible to the public, market participants, and CB/VB:

- a) “Not Confirmed”: Producer enters the program (registers with CB/VB, and with FMS)
- b) “Accepted”: Producer starts to register data in the FMS
- c) “Compliant”: After month 3, producer is inspected by CB/VB and fulfills all requirements
- d) “Product Suspended”: Producer either did not pass the assessment or stopped sending data (for a maximum of 3 consecutive months)
- e) “Annulled”: The acceptance cycle expires and the producer is not reconfirmed for the next cycle
- f) “Self-Declared Suspension”: A producer or producer group may voluntarily ask the respective CB/VB(s) for a suspension of one, several, or all of the products covered by the letter of conformance (unless a CB has already imposed a sanction). This can occur if the producer experiences difficulty with compliance to the standard and needs time to close any non-compliances.

This chapter refers to the visibility that different actors have on the status of a producer. Data that is digitally registered through the FMS and received by GLOBALG.A.P. in its Impact-Driven Approach IT system is processed internally and returned to the producer through the FMS. GLOBALG.A.P. does not disclose or share any of this information to any stakeholder other than the FMS and the producer themselves.

ANNEX 2 – GLOBALG.A.P. IMPACT-DRIVEN APPROACH MODULE LETTER OF CONFORMANCE TEMPLATE



GLN/LGN: xxxxxxxxxxxxxxxxxxxx

**IMPACT-DRIVEN APPROACH MODULE
LETTER OF CONFORMANCE**

According to the Impact-Driven Approach module

Option X

Issued to

Producer group/producer
Company name, address

Country of production

The annex contains details of the producer/producer group included in the scope of this letter of conformance.

The certification body/verification body [Company Name] declares that the production of the products mentioned on this Letter of Conformance has been found to be compliant in accordance with the Impact-Driven Approach module:



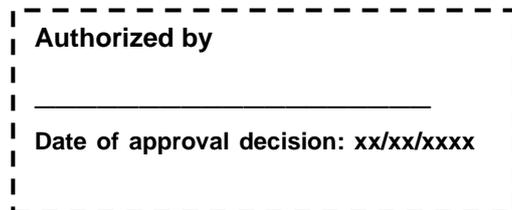
Product	Scope, Sub-Scope, or Product	Number of Producers

Name of the assessor:

Date of assessment:

Valid from: xx/xx/xxxx

Valid to: xx/xx/xxxx



CB contact data

Company name, address (incl. e-mail)

ANNEX for LGN/GLN xxxxxxxxxxxxxxxx

Date of issue: xx/xx/xxxx

Producer Group Members (Option 2)

LGN or GLN	Producer Name and Address	Product(s)

ANNEX 3 – FEE TABLE

Impact-Driven Approach to Sustainability module (for Flowers and Ornamentals) fees

Farm Size Total Productive Hectares Covered and Non-Covered	IDA Module
< 0.5	€50
> 0.5 – < 2	€75
> 2 – < 5	€100
> 5 – < 15	€150
> 15 – < 100	€175
> 100	€200