



GLOBALG.A.P. Sustainable Meat Initiative Requirements

General Rules

ENGLISH VERSION 1.0

VALID FROM: 1 JULY 2021

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1 GENERAL BACKGROUND INFORMATION

Name and version of the add-on:	GLOBALG.A.P. Sustainable Meat Initiative requirements(GLOBALG.A.P. SMI requirements) v1.0
Scope:	Livestock – Pigs IKB
Scheme ID:	299
Application in country(ies):	Netherlands, Belgium, and Germany
Add-on Observers:	Retail parties
The applicable add-on compliance criteria name and version:	IFS PIA incl. GLOBALG.A.P. SMI Requirements Guideline, Version 1

International Featured Standards (IFS) and GLOBALG.A.P. have developed a Product Integrity Assessment (PIA) guideline including specific compliance criteria for the Sustainable Meat Initiative (SMI) of GLOBALG.A.P.

2 APPLICABLE DOCUMENTS

For the GLOBALG.A.P. SMI requirements, the following documents are applicable:

- IFS Product Integrity Assessment (Protocol, Requirements and Reporting)
- IFS PIA incl. GLOBALG.A.P. SMI Requirements Guideline, Version 1
- GLOBALG.A.P. SMI requirements general rules (this document).

3 ROLES RELATED TO THE GLOBALG.A.P. SMI REQUIREMENTS

Producer: Farmer/Primary producer supplying pigs directly to a slaughterhouse. The slaughterhouse shall be assessed against the GLOBALG.A.P. SMI requirements. The primary producer shall be certified according to one of the following standards:

- IKB Varken Varkenshouders (latest version) **and** GLOBALG.A.P. SMI add-on modules 1, 2, and 3
- IKB Nederland Varkens (latest version) **and** GLOBALG.A.P. SMI add-on modules 1, 2, and 3

Processor: The entity taking part in the supply chain for fresh pig meat from slaughterhouse up to and including the packer of consumer units. To claim that products are processed according the SMI criteria, all entities in the supply chain shall be assessed against the GLOBALG.A.P. SMI requirements. Processors handling products packed in tamper-proof consumer packaging are exempt from an assessment against the GLOBALG.A.P. SMI requirements.

IFS: Standard owner of IFS PIA responsible for operating assessments against the GLOBALG.A.P. SMI requirements.

GLOBALG.A.P.: Standard owner of the GLOBALG.A.P. SMI requirements and responsible for maintaining a platform for publishing the assessment results of IKB Varken Varkenshouders, IKB Nederland Varkens, and the GLOBALG.A.P. SMI requirements.

SMI requirements Observer group: Trading companies that belong to the “market participant” data access group, have a GLOBALG.A.P. bookmarking account, and have access to the GLOBALG.A.P. database as SMI requirements Observers.

4 GENERAL RULES

This document contains the general rules exclusively applicable to the GLOBALG.A.P. SMI requirements. The basis for this document is “IFS Product Integrity Assessment (Protocol, Requirements and Reporting)”, which should be consulted while reading these general rules.

Section of the IFS-Product Integrity Assessment Protocol Numbering based on version 1.1 dated September 2020	IFS PIA Rule	Rule applicable to the GLOBALG.A.P. SMI requirements
CB APPROVAL PROCESS		
-	-	GLOBALG.A.P. certification bodies (CBs) with IFS PIA recognition that want to carry out assessments against the GLOBALG.A.P. SMI requirements shall request a scope extension (see the latest GLOBALG.A.P. fee table for scope extension costs) from GLOBALG.A.P. CB administration by sending a signed letter of intent. The CB shall nominate a contact person.
AUDITOR APPROVAL PROCESS		
-	IFS PIA reference: Requirements CB_Assessoren_V1	For the GLOBALG.A.P. SMI requirements, the IFS PIA reference “Requirements CB_Assessoren_V1” shall be followed. In addition to the qualifications listed in this reference, the GLOBALG.A.P. SMI requirements demand a minimum of 2 years’ work experience in the meat industry, gained after the respective post-high school studies. The CBs shall register the GLOBALG.A.P. SMI requirements assessors with GLOBALG.A.P.
APPLICATION PROCESS		
Annex 2: Assessment Process – Step 4: “Order the assessment with IFS”	All food supply chain companies can apply for an IFS PIA assessment.	The processor applies for the GLOBALG.A.P. SMI requirements with a GLOBALG.A.P. approved CB and signs a sublicense and certification agreement (see GLOBALG.A.P. general regulations part I for details on the registration process).
REGISTRATION PROCESS		
Annex 2: Assessment Process – Step 7 “Selection of a certification body by IFS”	ISO 17065–accredited and IFS-PIA-approved.	The selected CB shall complete the registration of the applicant in the GLOBALG.A.P. database for the GLOBALG.A.P. SMI requirements (see GLOBALG.A.P. general regulations part I for details on the registration process).

Section of the IFS-Product Integrity Assessment Protocol Numbering based on version 1.1 dated September 2020	IFS PIA Rule	Rule applicable to the GLOBALG.A.P. SMI requirements
PREPARATION OF ASSESSMENT		
Annex 2: Assessment Process – Step 9 “Preparation of assessment”	IFS provides the assessor with the customer’s product selection (scope: consumer product with an SMI claim).	GLOBALG.A.P. provides the assessor with access to the certification status of the producers and processors in the GLOBALG.A.P. database for the scope SMI. The selected CB shall complete the acceptance of the products in the GLOBALG.A.P. database prior to the date of the assessment (see GLOBALG.A.P. general regulations part I for details on the registration process).
Annex 2: Assessment Process – Step 9b “Product specification”	IFS provides the assessor with the product specifications and information from retailers.	The product specification shall include the GLOBALG.A.P. Number (GGN) of the processor.
ASSESSMENT PROCESS		
Annex 2: Assessment Process – Step 10 “Performing the assessment”	IFS PIA assessment plan from opening meeting till closing meeting and the presentation of the findings	The assessment plan includes the specific add-on activities (labeling, traceability, and mass balance evaluation cross-checked with the volumes as published in the GLOBALG.A.P. database).
REPORTING AND CORRECTIVE ACTION PLAN		
Annex 2: Assessment Process – Steps 11 to 15	IFS PIA format and working order for reporting and handling improvements	The assessor shall use the “IFS PIA incl. GLOBALG.A.P. SMI Requirements Guideline”. The requirements are divided into 2 sections: <ul style="list-style-type: none"> - the PIA requirements classified as “Points of Attention” for SMI - the other PIA requirements If a PIA requirement classified as a Point of Attention for SMI is scored at the level C, D, Major, or KO, a corrective action plan is needed. In the case of a KO score, a new assessment is also required. For the other PIA requirements, the IFS Product Integrity Assessment Protocol is followed. This protocol states that a corrective action plan is needed only in case of a major or KO score. In the case of a KO score, a new assessment is also required. If specific SMI compliance criteria apply, this is indicated in the “IFS PIA incl. GLOBALG.A.P. SMI Requirements Guideline”. All non-compliances shall be closed before a letter of confirmation is issued (for details, see the IFS Product Integrity Assessment Protocol, paragraph 6.13.2). CBs shall calculate at least 2 hours of additional assessment time for the evaluation of a corrective action plan. In the case of a KO score, no letter of confirmation will be issued.

Section of the IFS-Product Integrity Assessment Protocol Numbering based on version 1.1 dated September 2020	IFS PIA Rule	Rule applicable to the GLOBALG.A.P. SMI requirements
LETTER OF CONFIRMATION		
Annex 2: Assessment Process – Steps 16 and 16a	IFS sends the IFS PIA report to the participating retailer and publishes the letter of confirmation in the IFS database.	<p>GLOBALG.A.P. receives a copy of the final report and the letter of confirmation. The letter of confirmation states, in addition to the IFS PIA statement, the level of compliance with the GLOBALG.A.P. SMI requirements compliance criteria.</p> <p>The selected CB publishes the report and letter of confirmation in the GLOBALG.A.P. database (access for assigned parties only).</p> <p>The selected CB completes the certification step in the GLOBALG.A.P. database, aligned with the letter of confirmation. (see GLOBALG.A.P. general regulations part I for details on the certification process). The status of the processor's GLOBALG.A.P. SMI requirements assessment is visible in the public part of the GLOBALG.A.P. database.</p>
ASSESSMENT FREQUENCY		
Paragraph 6.15.1	The IFS PIA assessment frequency for the meat sector is defined as follows: <ul style="list-style-type: none"> - Higher level: 2 years - Medium level: 2 years - Foundation level: 1 year - Lower level: 6 months 	
Paragraph 11.2 Quality assurance actions after complaint notification	The IFS offices will gather all necessary information in order to investigate the cause of the complaint and to establish if there are deficiencies by the assessed company, certification body, or assessors in meeting IFS PIA requirements.	If a complaint related to the integrity of a product (e.g., labeling, volume, traceability) is received, GLOBALG.A.P. will conduct an investigation according to the GLOBALG.A.P. complaint procedure. This investigation may include an on-site assessment.

* Please note: In deviation from the GLOBALG.A.P. general regulations (see for example part I, section 4.1 lit. b) and section 4.2 of the general regulations), the registration for the GLOBALG.A.P. SMI requirements and the related services are not provided by the CB, but by GLOBALG.A.P. itself. Accordingly, registration fees will be invoiced directly by GLOBALG.A.P. (see Annex 2 below).

5 DUTY OF NOTIFICATION

Due to the dependency of the GLOBALG.A.P. SMI requirements on the assessment status of the IFS PIA, CBs have the duty to inform GLOBALG.A.P. within 24 hours about the following aspects:

- Status changes in the PIA letter of confirmation of their processors during the certification cycle
- Legal non-compliances of their processors
- Sanctions

ANNEX 1 – DATA ACCESS RULES

These are the data access rules as set for the GLOBALG.A.P. SMI requirements.

This is a private solution and is not visible to the public. Further information on the data access group definitions is available in the general “Data Access Rules.”

	Data access groups		
	GLOBALG.A.P. Secretariat	Certification Body	SMI requirements Observer
Add-on visibility	x	x	x

x = marks that this data is visible to users assigned to the respective data access group.

COMPANY/OPERATION DATA

	Data access groups		
	GLOBALG.A.P. Secretariat	Certification Body	SMI requirements Observer
Company (operation)			
Company name	x	x	x
Company address ¹⁾	x	x	x
Company city	x	x	x
Company country	x	x	x
Company contact information ²⁾	x	x	
Company website (if available)	x	x	
Current GGN/GLN	x	x	x
Previous GGN	x	x	x
Legal registration per country	x	x	
Location ³⁾	x	x	x
Contact person (responsible for legal entity)			
Contact name ⁴⁾	x	x	
Contact information ²⁾	x	x	

x = marks that this data is visible to users assigned to the respective data access group.

Notes

- 1) Company address includes: Street address (or information available to describe the company location), postal address, postal code, state/province.
- 2) Contact information includes (if available): Telephone number, fax number, e-mail address.
- 3) Location includes: Northern/Southern latitude + Western/Eastern longitude.
- 4) Contact name includes: Title, first name, and last name.

PRODUCT AND ASSESSMENT DATA

	Data access groups		
	GLOBALG.A.P. Secretariat	Certification Body	SMI requirements Observer
Product	x	x	x
Product status	x	x	x
Standard version	x	x	x
Letter of confirmation validity date	x	x	x
Certification body	x	x	x
Countries of destination	x	x	x
Quantity data ⁵⁾	x	x	
Assessment result ⁶⁾	x	x	x

x = marks that this data is visible to users assigned to the respective data access group

Notes

⁵⁾ In tonnage

⁶⁾ Final assessment report and letter of confirmation

ANNEX 2 – FEE TABLE

GLOBALG.A.P. registration fee for the entry of the GLOBALG.A.P. SMI requirements into the GLOBALG.A.P. database.

Valid from: 1 July 2021

Fee Type	Applies to	Annual Fee (per Company Group)
Registration Fee – GLOBALG.A.P. SMI requirements meat processor/slaughterhouse	All meat processing companies/slaughterhouses registering the GLOBALG.A.P. SMI requirements in the GLOBALG.A.P. database	€3000

The invoicing of the GLOBALG.A.P. SMI requirements registration fee will be carried out by the GLOBALG.A.P. Secretariat directly to the meat processor/slaughterhouse. For registrations in the first half of the year, the invoices will be sent in July of the same year. For registrations in the second half of the year, the invoices will be sent in January of the following year.

The necessary invoicing data of the meat processor/slaughterhouse including company name of the party registered in the GLOBALG.A.P. database, invoice recipient name/VAT ID/e-mail address, and parent company (if applicable) shall be sent by the certification body after registration in the GLOBALG.A.P. database to the following e-mail address: pia.addon@globalgap.org.