Von:	Info, GLOBALG.A.P. <info@globalgap.org>
Gesendet:	Montag, 23. August 2021 14:50
An:	Leonard Jansen
Betreff:	Audit Online Hub – Recent changes and updates

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Audit Online Hub – Recent changes and updates

Dear Mr. Leonard Jansen,

We are updating our hub on a regular basis. We are thankful for the feedback from our valuable Audit Online Hub users and will sent you a quarterly update about the latest changes and news. If you would like to see the latest changes in brief, please click here to visit our website. For a more detailed overview, please read on.

More information can be found here:

- Information page on our website regarding Audit Online Hub
- Release notes (for both database and Audit Online Hub)
- Twitter channel for updates on the latest developments
- Audit Online Hub API documentation
- Wiki article

Extended Trial Period
As previously communicated, 15 February to 15 August 2021 was named as a designated trial period, whereby GLOBALG.A.P. approved CBs are required to use the AOH to upload 25% of all audits/inspections conducted per month.

Since the next CBC meeting will take place on 21 September 2021, we have decided to extend this trial phase until 30 September 2021. The percentage of files to be uploaded will not increase before then.

During this extended trial phase, GLOBALG.A.P. will continue monitoring CBs. Whenever possible, GLOBALG.A.P. will advise CBs on systematic errors and general performance in their use of the Audit Online Hub.

From 15th August 2021, until 30 September the upload requirements remain as follows:

1. From **15 November 2020**, all (i.e., 100% of) checklists for the FSMA PSR add-on (including its IFA checklist part) shall be uploaded (requirement unchanged).
2. From **15 February 2021**, 25% of all checklists for IFA v5.2, IFA v5.3-GFS, IFA v5.4-GFS, HPSS v1.2, or PHA v1.2 shall be uploaded per month for the next 3 months (until 30th September) (requirement updated)

3. From **1 April 2021**, all (i.e., 100% of) checklists for the Nurture Module v11.2 shall be uploaded. The Nurture Module checklists consist of the Nurture Module and IFA checklist (see 'Nurture Module Scheme Rules' 5.d) (requirement unchanged).
4. From **15 May 2020** (when the GLOBALG.A.P. Remote procedure was first published), all (i.e., 100% of) the inspections conducted according to the GLOBALG.A.P. Remote procedure for IFA v5.2 and the FSMA PSR add-on shall be uploaded (requirement unchanged).
5. From **25 January 2021** (when the Nurture Module v11.2 was released in the AOH), all the inspections conducted according to the GLOBALG.A.P. Remote procedure for the Nurture Module shall be uploaded (requirement unchanged).
6. Those IFA checklists uploaded for the FSMA PSR add-on, the Nurture Module, or because of the use of GLOBALG.A.P. Remote may be counted towards the 25% referenced in item no. 2 of this list (requirement unchanged).
7. At the end of the trial period (30 September 2021), there will be an evaluation meeting with the CBC to determine further strategy based on the feedback collected (updated requirement).
8. During the trial phase (until 30 September 2021), AOH use is not considered in CB key performance indicator scoring, and no sanctions for unintentional operational mistakes will be issued (requirement unchanged).
9. The 25% shall be counted per certificate holder – i.e., the CB may choose any combination of standards, Option 1 inspections, and Option 2 audits (requirement unchanged).
   1. <u>Example:</u> The CB has inspected/audited 100 IFA v5.2 Option 1 producers and 20 IFA v5.2 Option 2 producer groups as well as 100 IFA v5.3-GFS Option 1 producers and 20 IFA v5.3-GFS Option 2 producer groups. Total number of certificate holders inspected/audited is thus 240. The CB has to upload 25% of 240 = 60 inspection/audit checklists. CB may select any 60 checklists – e.g., 60 IFA v5.2 Option 1 producer inspection checklists – to comply with the upload rule.
   2. If the CB chooses to upload an Option 2 or an Option 1 with QMS checklist, the CB shall upload the QMS checklist for the producer group/producer as well as the checklists for all sampled producer group members/production sites (requirement unchanged)
10. The number of checklists to be uploaded shall always be rounded up to the next whole number (requirement unchanged).
    1. <u>Example:</u> CB has inspected/audited 45 certificate holders. 25% of 45 = 11.25, so 12 checklists shall be uploaded.
11. All deadlines are linked to the date of audit and not to the date of certification decision (requirement unchanged).
12. Initial, re-certification, surveillance, and unannounced inspections and audits are included   (requirement unchanged).

From 15 May 2021, GLOBALG.A.P. is strictly enforcing the rule which requires a percentage of audit checklists be uploaded to the AOH. The following sanctions apply for CBs that do not meet this obligation:

1. If the number of audit checklists not uploaded per month to the AOH exceeds 10 audit checklists or 5% of the total audit checklists required for the month (whichever is lower), a first warning shall be issued to the CB. The CB has until the end of the following month to upload the missing audit checklists.
2. If, in the consecutive month, 10 audit checklists or 5% of the total audit checklists required for the month (whichever is lower) are missing, or if the previous missing audit checklists are not uploaded within the deadline, the first warning will be escalated to a second warning. The CB has until the end of the following month to upload the missing audit checklists.
3. If, for the third month in a row, 10 audit checklists or 5% of the total audit checklists required for the month (whichever is lower) are missing, or if the previous missing audit checklists are not uploaded within the deadline, the second warning will be escalated to a Yellow Card. The CB has until the end of the following month to upload the missing audit checklists.

4. If, for the fourth month in a row, 10 audit checklists or 5% of the total audit checklists required for the month (whichever is lower) are missing, or if the previous missing audit checklists are not uploaded within the deadline, the Yellow Card will be escalated to a Red Card. The CB has until the end of the following month to upload the missing audit checklists.

New deployment process for Audit Online Hub

As already announced in the CBC meeting on 30 June, we will introduce a new deployment process to simplify the overall process for everyone involved and cater to the needs of all users.

The process in brief:

1. Development, testing and troubleshooting of new change requests on PRELIVE (access restricted to GLOBALG.A.P.).
    1. As soon as all change requests have been successfully accepted, deployment to BETA follows.
2. Publication of the new software version and testing by users on the new BETA system.
    1. The software version is available for testing for at least three months before it is deployed on LIVE.
3. Publication of the new software version on LIVE and the new system DEMO
    1. LIVE and DEMO will always correspond to the same software version (= same functionality), only data (e.g. audit reports) are not synchronized.
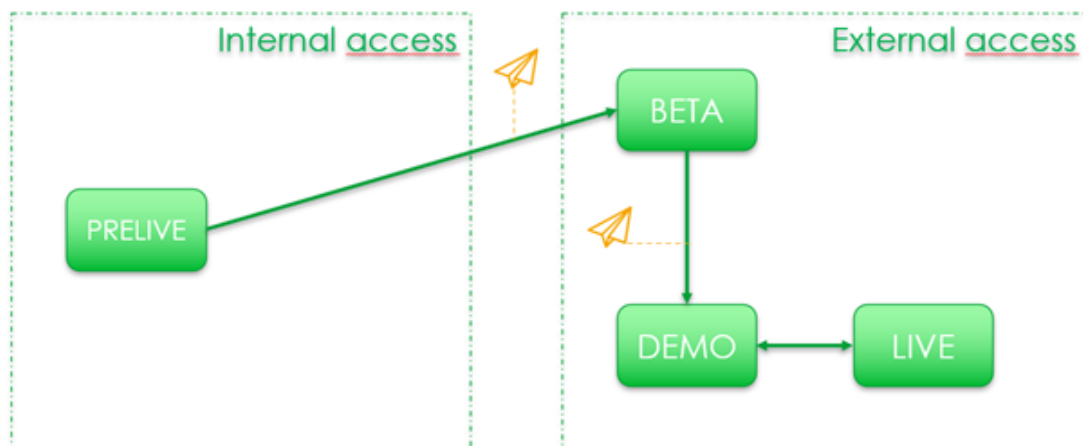
To read more about our new deployment process for Audit Online Hub, please click here.

Additional information:
AOH BETA
Web UI: beta-audit.globalgap.org   AOH DEMO
REST/API UI: beta-api-audit.globalgap.org Web UI: demo-audit.globalgap.org
API doc.: beta-api-audit-doc.globalgap.org REST/API UI: demo-api-audit.globalgap.org
                                              API doc.: api-audit-doc.globalgap.org

AOH LIVE
Web UI: audit.globalgap.org   Freshstatus
REST/API UI: api-audit.globalgap.org   URL: globalgap.freshstatus.io
API doc.: api-audit-doc.globalgap.org

How will the migration work?
The new systems "BETA" & "DEMO" are scheduled to begin operations on 2 September. On this day, pre-audit.globalgap.org cannot be accessed. For technical and organizational

reasons, we cannot name a specific time of day. The aim is to have the systems available under the new URLs from 3 September.

The system, which is currently known as the test system (pre-audit.globalgap.org), will become the new BETA system. This means that all data including login data will be migrated. From 3 September onwards, you should be able to log in to "beta-audit.globalgap.org" with your known access data.

The LIVE system remains untouched and will remain continuously accessible.

Recent changes and more

Please be aware that all changes listed below are part of the 2.3.0 release. Version 2.3.0 will be deployed to BETA on 2 September 2021 and transferred from BETA to LIVE + DEMO on approximately 28 October 2021.

New features:
- Allow deletion of audit reports
  - *In some cases, it might be necessary to delete audit reports. CBs are now able to do that by themselves via the web interface.*
- Add auditor travel distance
  - *Register the distance travelled by the CB auditor. It shall be recorded whether the auditor flew (by plane) there and how far the auditor travelled to the audit.*
- Change Justification Notes visibility to public
  - *The report requirements (IGL) require the inspector to write down concrete operational data, quantities, active ingredients etc that is observed during the inspection. Several producers do not want this sensitive data to be printed in audit reports. A second comment/justification box will therefore be added for CB internal comments only, which will not be printed on (PDF) audit reports.*

Configuration changes:
- Restrict access on audit reports for data privacy
  - *Only those with a "Company Administrator" role shall be able to access all audit reports within a CB. Those with the "Assessor" role, for example, shall only be able to see reports they have created themselves to ensure data privacy of the individual producer/groups.*
- Change summary calculation according to the General Regulations
  - *Connection with the corrective action plan is missing. A second calculation for the reviewer and certification committee is needed. The second calculation shall consider the closed NC's as compliant.*
- Change product selection in audit reports
  - *The possible products for fields "Assessed", "Harvest" etc. shall be limited to those selected in "Scope". Same rule applies to PP/PO fields. Also, automatically pre-fill fields based on "Scope" to reduce efforts of user.*
- Add missing HPSS tab
  - *The assessment details for HPSS were missing and shall be added, including: Assessment type, "Audit has been conducted in two stages?", "Products" section, "Farm assurer" section, "PP/PO" section, "Sites assessed" section*
- PHA certified supplier verification
  - *New field to be added to PHA tab in audit report: "Which certified producers handled produce in the last 5 months?"; Answer: GGN + Product (multiselect)*
- Remove obligation from fields that are already queried in the database
  - *The certification decision is already recorded in the database, in order to avoid double entries, related fields should only have to be filled out optionally. Extended PO attribute should be completely voluntary and*

selected products in the scope shall automatically be adopted as soon as the attribute is answered with "Yes".

We would like to remind you about our new software versioning scheme and the new "Upcoming Developments" tool in Audit Online Hub. Please see our recap below:

Introducing a new software versioning scheme for Audit Online Hub

We have introduced a new versioning of AOH software releases. This means that from now on, only entire software versions will be published on the test or live systems. Thus, individual changes are assigned to the corresponding software versions to make development work easier all stakeholders.

Tip: The current software version can be found at the bottom of the page, as shown in the screenshot below/above.
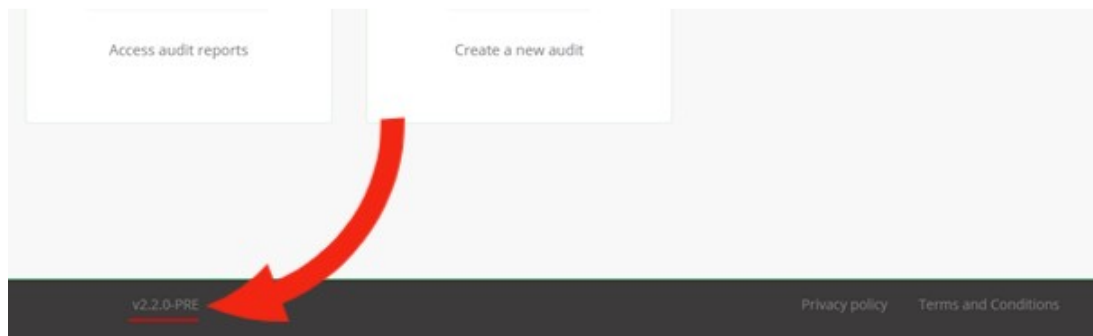
Introduction of the AOH "Upcoming Developments" tool

All CB change requests are now listed and summarized in this tool. From now on we will give updates on each individual point. This will give full transparency about the individual development stages of each change request.

Explanation of the statuses:
- <u>Done</u>: a functionality is implemented and released
- <u>Planned</u>: a functionality is planned for a future release
- <u>Ready for tests</u>: a functionality is planned to be released soon and ready to be tested by customers
- <u>Under consideration</u>: this change request was accepted, but no priority has yet been assigned and/or a decision has not yet been made as to whether the request should be implemented.
- <u>Not planned</u>: a functionality is not planned for a future release

In addition, the software version in which the change will be published will be specified in due course (using "tags"; e.g., "release v2.2.0").
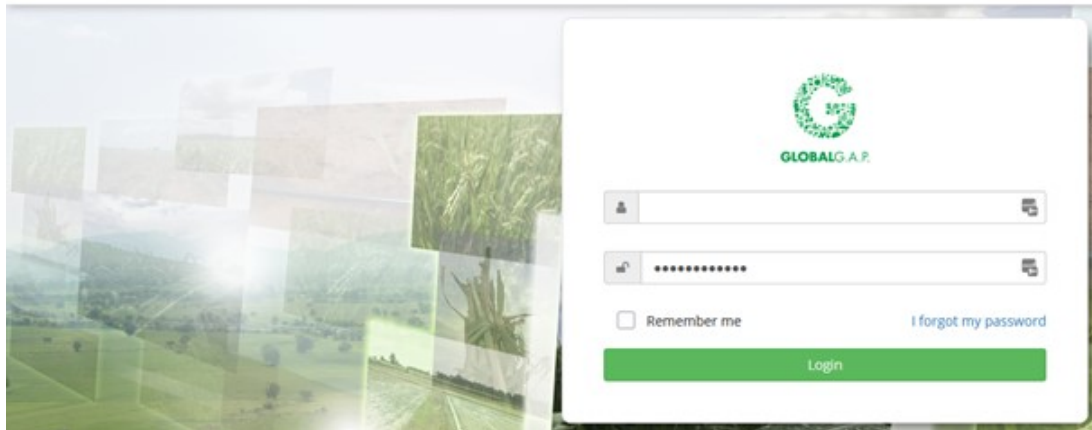
The page can be accessed directly in AOH. As usual, requests for changes can be sent to Customer Support by email. We will summarize new change requests at regular intervals and publish them on the page for transparency.

Still got concerns or questions about the Hub? Just contact our customer support. You can also let us know about your experience so far or offer suggestions for improvement. We are delighted to engage in new digital solutions with you.

Kind regards,
the GLOBALG.A.P. Team

Discover GLOBALG.A.P.