



GLOBALG.A.P.

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Guideline for the Inspection of CfP with GRASP

INTERIM FINAL ENGLISH VERSION 1.0

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1 INTRODUCTION

This document describes all requirements and the certification rules for any producer or producer group with GLOBALG.A.P. Crops for Processing (CfP) certification seeking to be assessed under GLOBALG.A.P. Risk Assessment on Social Practice (GRASP) as well. For all GRASP application requirements that are not described in this document, the valid version of the GRASP general rules and the GLOBALG.A.P. general regulations (on those areas applicable without conflicting with the rules of CfP) shall be used as the specific requirements of GRASP. GRASP shall have the same scope as CfP (not including processing) and cannot be applied as standalone; i.e., a valid CfP certificate is necessary as basis for a GRASP assessment.

2 DOCUMENTS

2.1 Normative Documents

These GRASP documents provide information for the implementation and assessment of basic social criteria at companies where GLOBALG.A.P. Crops for Processing has been implemented and which are planning to get certification. The following normative documents (and any other documents released as normative) are relevant:

For GRASP application:

- a) The GRASP general rules, latest version, and the GLOBALG.A.P. general regulations, latest version
- b) The GRASP add-on module, i.e., the control points and compliance criteria (CPCC)
- c) The GRASP add-on checklist
- d) The guideline for the inspection of CfP with GRASP (this document)
- e) Any GRASP national interpretation guidelines (NIGs)

For CfP application:

- f) The Crops for Processing general rules, latest version
- g) The Crops for Processing checklist, latest version
- h) The guideline for the inspection of CfP with GRASP (this document)

2.2 Document Control

The latest version of the Crops for Processing standard and the GRASP add-on documents can be downloaded free of charge from the GLOBALG.A.P. website.

3 APPLICATION OPTIONS

3.1 Applicability

Any producer of plants which will be further processed and not consumed fresh, and whose production processes are certified to the GLOBALG.A.P. CfP standard, may apply for a GRASP assessment through a GLOBALG.A.P. finally approved certification body (CB). GRASP cannot be a standalone module, as it relies on and complements the section “Workers’ Health, Safety, and Welfare” of the GLOBALG.A.P. CfP standard.

3.2 Options

Applicants can apply for an assessment under one of two options (individual producer or producer group). The options are based on the constitution of the applicant's legal entity. The applicants shall follow the same option for GRASP as for CfP if there is no valid GRASP assessment yet.

3.3 Assessment Timing

GRASP shall be assessed during the initial CfP on-site assessment by a third-party auditor, and on-site in each subsequent year of the CfP certification cycle, i.e., annually.

3.4 Mandatory Sections

When GRASP is assessed, checks of the following CfP sections become mandatory: AF 4, AF 5, AF 8, CB 4.2.6, CB 7.3.2, CB 7.7, CB 7.8, FV 5.8.8 (in the case of Fruit and Vegetables), CC 4.1.1 (in the case of Combinable Crops), CO 7.1.5, CO 7.1.6, CO 8.3, and CO 8.4 (in the case of Green Coffee). These sections can then no longer be marked "not applicable" (N/A) in the CfP inspection.

3.5 Assessment Rules for Years 1 and 4

When GRASP add-on is being assessed in year 1 or 4 of the CfP cycle, it shall be assessed by the GRASP auditor on site. The assessment shall include:

- Checking sections AF 4, AF 5, AF 8, CB 4.2.6, CB 7.3.2, CB 7.7, CB 7.8, FV 5.8.8, CC 4.1.1, CO 7.1.5, CO 7.1.6, CO 8.3, and CO 8.4 of the CfP standard
- Checking that no criteria of sections AF 4, AF 5, AF 8, CB 4.2.6, CB 7.3.2, CB 7.7, CB 7.8, FV 5.8.8, CC 4.1.1, CO 7.1.5, CO 7.1.6, CO 8.3, or CO 8.4 of the CfP standard are marked "N/A"
- Verifying the QMS for GRASP in an Option 2 assessment
- Verifying the compliance level of the above sections using the CfP scoring system
- Verifying the pass level of those sections using the CfP scoring system. (Overall compliance with those sections shall be at least 100% for Major Musts and 95% for Minor Musts.)

3.6 Assessment Rules for Years 2 and 3

When GRASP is being assessed in year 2 or 3 of the CfP cycle (without third party on-site CfP assessment), then the GRASP on-site audit shall involve:

- Auditing in the field CfP sections: AF 4, AF 5, AF 8, CB 4.2.6, CB 7.3.2, CB 7.7, CB 7.8, FV 5.8.8, CC 4.1.1, CO 7.1.5, CO 7.1.6, CO 8.3, and CO 8.4 (No N/A for these sections in the CfP inspection)
- Verifying the QMS for GRASP in an Option 2 assessment
- Checking that none of the above CPs are marked "N/A"
- Verifying the compliance level with the above sections using the CfP scoring system
- Verifying the pass level of those sections using the CfP scoring system

3.7 Compliance Level and Not Applicable Points

If the compliance level of the sections named above is not equivalent to that required to pass the CfP assessment as indicated in the CfP general rules, section 6.2, then GRASP cannot be assessed. The nature and relationship of GRASP with CfP shall be maintained.

GRASP control points are not applicable if the producer does not employ any staff (e.g., a family-run business with core family members, no employees at any time of the year). However, the third-party auditor shall check that there is objective and clear evidence that this producer indeed does not employ anybody at any time of the year, and the auditor shall keep records of the evidence checked in the GRASP checklist.

4 GENERAL RULES OF INTEREST FOR THE APPLICATION OF CFP WITH GRASP

As GRASP and CfP assessment levels differ (for details, refer to the latest GRASP version and to the CfP general rules, section 5.1, “Assessment Levels”), the following information defines the rules for assessment levels for the inspection of CfP with GRASP.

4.1 CfP Self-Assessment and Internal Inspection/Audit

To achieve certification, a registered party shall perform either a self-assessment (Option 1) or internal inspections/audits (Option 1 multisite with QMS and Option 2) and receive inspections/audits by the chosen certification body.

4.2 Assessment Process

The assessment process for GRASP in combination with CfP shall be the same as for GLOBALG.A.P. Integrated Farm Assurance certification and shall be conducted together with the GLOBALG.A.P. CfP inspection/audit.

4.3 GRASP Add-on Self-Assessments and Internal Assessments and Audits

4.3.1 Option 1 self-assessments

For GRASP criteria, please refer to the latest GRASP version.

4.3.2 Announced inspections

For GRASP: Annually (including the sections of CfP mentioned in sections 3.5 and 3.6 of this document)

For CfP: One announced CB inspection of each producer at the initial assessment and thereafter once every three years

4.3.3 Certification body GRASP assessment

These assessments (announced and unannounced) shall be carried out by a CB inspector or auditor annually. The CB inspector and auditor shall be approved for CfP and the inspector/auditor shall also comply with GRASP qualification requirements.

4.3.4 Subcontractors/Contractors (for farm activities)

Types of subcontractors or contractors under the scope of GRASP

Any subcontractor or contractor that *provides farm labor* (including farm labor and equipment, farm labor and material, or farm labor, material, and equipment) for the main farming activities of agricultural production on the premises of the farm or PHU will be subject to GRASP, as long as this activity is included in the scope of CfP. The *main farming activity* refers to those activities directly related to the production of the product (e.g., including pruning of the fruit trees, but excluding farm barn building).

Types of subcontractors or contractor outside of the scope of GRASP

Any subcontractor or contractor related to agricultural production *who does not provide farm labor* to the main farming activities of agricultural production.

Obligation of producer with subcontractors or contractors outside of the scope of GRASP

If the subcontracted activities or provided product/service require the presence of staff of the subcontractor or contractor on the premises of the farm, the producer shall make the subcontractor or contractor, as well as any commercial partner, aware that the producer does not tolerate force labor, child labor, harassment, or non-compliance with local laws. Any non-compliance on these topics will be a cause for ending the commercial relationship immediately.

Awareness means notice, warning, information.

Evidence of awareness: GRASP assessor shall check documental evidence: Document to subcontractor or contractor, contract clause included, notice at the entrance of the farm, notice in the visitor entrance, etc.

Possible decision tree process for auditors defining subcontractors or contractors for GRASP assessment

Is the subcontracted or contracted activity/service providing farm labor (farm labor + equipment, farm labor + material, farm labor + material + equipment)?

NO → excluded from GRASP assessment but subject to awareness requirement
(e.g., IT services, electricity company services)

YES → may be included in GRASP assessment (go to next question)

Is the activity/service provided by the subcontractor or contractor directly related to the main farming activities of agricultural production on the premises of the farm or PHU?

NO → excluded from GRASP assessment but subject to awareness requirement
(e.g., staff of security company, fixing electricity at the farm)

YES → may be included in GRASP assessment (go to next question)

Is there additional farm labor involved besides the subcontractor or contractor signing the contract (i.e., are there additional workers other than the ones performing subcontracted or contracted activities)?

NO → excluded from GRASP assessment, but subject to awareness requirement
(e.g., transportation subcontractor drives the truck and loads the boxes onto the truck)

YES → included in GRASP assessment (e.g., transportation subcontractor hired drivers and a crew to load the boxes onto the truck)

5 GRASP ASSESSORS' QUALIFICATION REQUIREMENTS

The qualification requirements for GRASP assessors shall follow the latest GRASP version. CfP can only be audited by inspectors approved for CfP. Additionally, the combined CfP and GRASP audit may only be conducted by one auditor if that auditor is qualified for both. An audit team may be formed where a CfP approved auditor and a GRASP assessor conduct the audit jointly.

All IFA Crops in-house trainers already approved for CfP shall attend the update training for CfP, which will include the guideline for the inspection of CfP with GRASP. In case the IFA Crops in-house trainer approved for CfP is a different person from the GRASP in-house trainer, both shall participate in the update training before the first combined assessment.

6 GRASP COMPLIANCE SYSTEM

The GRASP compliance system shall follow the latest GRASP version.

6.1 GRASP Assessment Results

GRASP assessment results shall be tabulated and recorded as per the latest GRASP version.

6.2 Country Risk Classification

The country risk classification shall follow the requirements set out in the latest GRASP version.

7 DATA USE

The use and public availability of data shall follow the requirements set out in the latest GRASP version. Additionally, refer to the "GLOBALG.A.P. Data Access Rules".

The CfP certificate shall be uploaded to the GLOBALG.A.P. website and shall be available for stakeholders. The CfP checklist shall be available for GRASP assessors.